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PTO/SB/31 (09-06)

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**NOTICE OF APPEAL FROM THE EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

ROC920010111US1

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on December 22, 2006

Signature

Typed or printed name

Matthew J. Bussan

In re Application of
Frederick Paul Benning et al.

Application Number
09/976,167

Filed
October 12, 2001

For SELF-CLEANING COLLOIDAL SLURRY COMPOSITION AND
PROCESS FOR FINISHING A SURFACE OF A SUBSTRATE

Art Unit

1765

Examiner

Shamim Ahmed

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 500.00 ***

- Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ _____
- A check in the amount of the fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached.
- The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0465. I have enclosed a duplicate copy of this sheet.

*** An notice of appeal fee of \$500 was previously charged to Deposit Account No. 09-0465 in conjunction with the Notice of Appeal filed on October 26, 2005 and is to be applied to the instant Notice of Appeal. In accordance with MPEP 1207.04, the fee paid for the notice of appeal, appeal brief, etc. will be applied to a later appeal on the same application if, as in the present case, prosecution was reopened prior to a decision on the merits by the Board of Appeals and Interferences and the appellant elected to continue prosecution.

I am the

- applicant/inventor.
- assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
- attorney or agent of record. Registration number _____.
- attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34. 33,614

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Signature

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Telephone number

December 22, 2006

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of _____ forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.